

07-28-04

Page 1 of 2
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/796,579	03/09/2004	Y. Yamanaka	NECG 21.055

CONFIRMATION NO. 1096

026304
KATTEN MUCHIN ZAVIS ROSENMAN
575 MADISON AVENUE
NEW YORK, NY 10022-2585

FORMALITIES LETTER



OC000000012780293

Date Mailed: 05/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
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Alexandria VA 22313-1450

07/29/2004 WASFAN1 00000062 501290 10796579
01 FC:1001 770.00 DA
02 FC:1051 130.00 DA
03 FC:1051

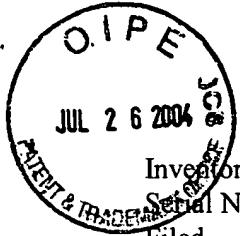
*A copy of this notice **MUST** be returned with the reply.*

DSH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Investor : Yutaka YAMANAKA, et al.
Serial No : 10/796,579
Filed : March 9, 2004
Title : OPTICAL DISK MEDIUM AND OPTICAL DISK...
Art Unit : 2655
Confirmation No. : 1096

July 26, 2004

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Director of the U.S. Patent and
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Alexandria, VA 22313-1450

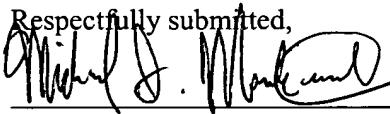
RESPONSE FOR NOTICE TO FILE MISSING PARTS

To the Commissioner for Patents:

We have received a Notice to File Missing Parts of Application dated May 27, 2004, a copy of which is attached. In reply thereto enclosed please find the following items:

X 1. Declaration;
X 2. Return post card;
X 3. Copy of Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct. No. 50-1290.

Respectfully submitted,

Michael I. Markowitz
Reg. No.: 30,659

CUSTOMER NO.: 026304
TELEPHONE:(212) 940-8800
FAX: (212) 940-8986
Docket No.: NECG 21.055 (100806-00255)

Filed by Express Mail
(Receipt No. EL980189109 US)
on July 26, 2004
pursuant to 37 C.F.R. 1.10.
by Jeney Hahn